



Patent No.: 026859.102-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jeffrey R. Lange

Application No.: 09/809,025

Group Art Unit: 2812

Filed: March 16, 2001

Examiner: Not Yet Assigned

For: **DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS AND TRADING EXCHANGE THEREFOR**

PRELIMINARY AMENDMENT

This application has not yet been examined. Claims 1-22 are pending in the application.

AMENDMENT

In this specification:

Please amend the paragraph immediately following the heading, "RELATED APPLICATIONS" to read as follows:

This application is a continuation-in-part of United States application serial number 09/744,816, which is the United States national stage application under 35 U.S.C. § 371 of Patent Cooperation Treaty application serial number PCT/US00/19447, filed July 18, 2000, and which is a continuation-in-part of United States application serial number 09/448,822, filed November 24, 1999. This application also claims priority to Patent Cooperation Treaty application serial number PCT/US00/19447, filed July 18, 2000; and

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United States provisional application serial number 60/144,890, filed July 21, 1999. Each of the applications referred to in this paragraph is incorporated by reference into this application.

REMARKS

This amendment corrects a typographical error (page 1, line 11) in the serial number (correcting it to 09/744,816) of one of the parent applications of present application. This amendment also clarifies that, as provided by 35 U.S.C. § 363 and 37 C.F.R. § 1.53(j), the filing date of United States application serial number 09/744,816 is July 18, 2000, as that application is the national stage application of PCT/US00/19447, which was filed in the United States under PCT Article 11(3) on that date, and which designated the United States. A sheet showing the changes from the present application (serial number 09/809,025) as originally filed is attached.

No fee is believed to be due in connection with this Preliminary Amendment.

The Commission is authorized to charge any fee that may be required to Deposit Account No. 026859.102-US01.

Respectfully submitted.


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Dated: June 22, 2001
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Modified "RELATED APPLICATIONS" Paragraph

This application is a continuation-in-part of United States application serial number {09/774,816, filed January 30, 2001 (as) [09/744,816, which is] the United States national stage application under 35 U.S.C. § 371 of Patent Cooperation Treaty application serial number PCT/US00/19447, filed {July} [June] 18, 2000{),},[, and] which is a continuation-in-part of United States application serial number 09/448,822, filed November 24, 1999. This application also claims priority to Patent Cooperation Treaty application serial number PCT/US00/19447, filed July 18, 2000; and United States provisional application serial number 60/144,890, filed July 21, 1999. Each of the applications referred to in this paragraph is incorporated by reference into this application.